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In re Application of Sprick

Serial No.: 09/933,919

Filed: 08/21/01

For: Closure Having An Improved Thread Design

## **INFORMATION DISCLOSURE STATEMENT UNDER 1.97(b)**

Honorable Commissioner of Patents and Trademarks Washington, D.C. 20231

## Dear Sir:

In accordance with 37 CFR §1.56, the references listed below are being brought to the attention of the Examiner for consideration in connection with the examination of the above identified patent application. A copy of the cited references is enclosed.

## U.S. REFERENCES CITED:

PATENT NO.	INVENTOR	DATE
6,102,233	Montgomery	August 15, 2000
6,047,840	Moore et al.	April 11, 2000
5,785,195	Zwemer et al.	July 28, 1998
5,292,020	Narin	March 8, 1994
4,913,299	Petro	April 3, 1990
4,798,303	Arnold	January 17, 1989
4,754,892	Retief	July 5, 1988
4,697,715	Beruvides	October 6, 1987
4,674,643	Wilde et al.	June 23, 1987
4,193,509	Dunn, Jr. et al.	March 18, 1980
3,987,921	Aichinger	October 26, 1976

1,916,693

Scribner

July 4, 1933

# FOREIGN REFERENCES CITED (ENGLISH VERSION):

PATENT ABSRACT	INVENTOR	<u>DATE</u>
0 232 856 · 2 565 939 GB 1,139,018 GB 1,172,608	Yoshiro Bonvin Lindstrom Evans et al.	August 19, 1987 December 20, 1985 January 8, 1969 December 3, 1969
• •		

# Certification Under 37 CFR §1.97(b)

This information is being submitted before the mailing of the first office action.

Respectfully submitted,

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Date: November 20, 2001

Encl: Form PTO/SB/21; PTO/SB/08A (including a copy of the references); Return postcard for evidencing receipt of above items

GP 3727

DEC 1 8 2007 Pease type a plus sign (+) inside this box  $\rightarrow$  +

Signature

PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

#### Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number 09/933,919 Application Number TRANSMITTAL 08/21/2001 Filing Date **FORM** First Named Inventor Sprick (to be used for all correspondence after initial filing) Group Art Unit **Examiner Name** Attorney Docket Number CG-614 CIP Total Number of Pages in This Submission **ENCLOSURES** (check all that apply) After Allowance Communication Assignment Papers Fee Transmittal Form (for an Application) to Group Appeal Communication to Board Fee Attached Drawing(s) of Appeals and Interferences Appeal Communication to Group Licensing-related Papers Amendment / Reply (Appeal Notice, Brief, Reply Brief) Petition After Final Proprietary Information Petition to Convert to a Provisional Application Affidavits/declaration(s) Status Letter Power of Attorney, Revocation Change of Correspondence Other Enclosure(s) (please Extension of Time Request Address identify below): Terminal Disclaimer Transmittal Letter Express Abandonment Request Return Postcard Request for Refund Art Information Disclosure Statement CD, Number of CD(s) -Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm John F. Salazar, Esq. Reg. No. 35, 393 Individual name Signature Date 1\1/20*\*2001 CERTIFICATE OF MAILING first class I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 11/20/2001 Doris Otto Typed or printed name

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

arrai

11/20/2001

Date